Package 'GENESIS'

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Type Package

Title GENetic EStimation and Inference in Structured samples (GENESIS): Statistical methods for analyzing genetic data from samples with population structure and/or relatedness

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Author Matthew P. Conomos, Stephanie M. Gogarten, Lisa Brown, Han Chen, Ken Rice, Tamar Sofer, Timothy Thornton, Chaoyu Yu

Maintainer Stephanie M. Gogarten <sdmorris@uw.edu>, Matthew P. Conomos <mconomos@uw.edu>

Description The GENESIS package provides methodology for estimating, inferring, and accounting for population and pedigree structure in genetic analyses. The current implementation provides functions to perform PC-AiR (Conomos et al., 2015, Gen Epi) and PC-Relate (Conomos et al., 2016, AJHG). PC-AiR performs a Principal Components Analysis on genome-wide SNP data for the detection of population structure in a sample that may contain known or cryptic relatedness. Unlike standard PCA, PC-AiR accounts for relatedness in the sample to provide accurate ancestry inference that is not confounded by family structure. PC-Relate uses ancestry representative principal components to adjust for population structure/ancestry and accurately estimate measures of recent genetic relatedness such as kinship coefficients, IBD sharing probabilities, and inbreeding coefficients. Additionally, functions are provided to perform efficient variance component estimation and mixed model association testing for both quantitative and binary phenotypes.

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Depends

Imports Biobase, BiocGenerics, GWASTools, gdsfmt, GenomicRanges, graph, IRanges, S4Vectors, SeqArray, SeqVarTools, dplyr, graphics, grDevices, Matrix, methods, stats, utils

Suggests CompQuadForm, logistf, poibin, survey, SNPRelate, GWASdata, testthat, knitr

VignetteBuilder knitr

biocViews SNP, GeneticVariability, Genetics, StatisticalMethod, DimensionReduction, PrincipalComponent, GenomeWideAssociation, QualityControl, BiocViews 2 GENESIS-package

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GENetic Estimation and Inference in Structured samples (GENESIS): Statistical methods for analyzing genetic data from samples with population structure and/or relatedness

Description

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The GENESIS package provides methodology for estimating, inferring, and accounting for population and pedigree structure in genetic analyses. The current implementation performs PC-AiR (Conomos et al., 2015, Gen Epi) and PC-Relate (Conomos et al., 2016, AJHG). PC-AiR performs a Principal Components Analysis on genome-wide SNP data for the detection of population structure in a sample that may contain known or cryptic relatedness. Unlike standard PCA, PC-AiR accounts for relatedness in the sample to provide accurate ancestry inference that is not confounded by family structure. PC-Relate uses ancestry representative principal components to adjust for population structure/ancestry and accurately estimate measures of recent genetic relatedness such as kinship coefficients, IBD sharing probabilities, and inbreeding coefficients. Additionally, functions are provided to perform efficient variance component estimation and mixed model association testing for both quantitative and binary phenotypes.

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Details

The PC-AiR analysis is performed using the pcair function, which takes genotype data and pairwise measures of kinship and ancestry divergence as input and returns PC-AiR PCs as the ouput. The function peairPartition is called within peair and uses the PC-AiR algorithm to partition the sample into an ancestry representative 'unrelated subset' and 'related subset'. The function plot.pcair can be used to plot pairs of PCs from a class 'pcair' object returned by the function pcair. The function king2mat can be used to convert output text files from the KING software (Manichaikul et al., 2010) into an R matrix of pairwise kinship coefficient estimates in a format that can be used by the functions pcair and pcairPartition. The PC-Relate analysis is performed using the pcrelate function, which takes genotype data and PCs from PC-AiR and returns estimates of kinship coefficients, IBD sharing probabilities, and inbreeding coefficients. The functions pcrelateReadKinship, pcrelateReadInbreed, and pcrelateMakeGRM provide utilities for reading and making tables or matrices of the PC-Relate output. There are two functions required to perform SNP genotype association testing with mixed models. First, fitNullMM is called to fit the null model (i.e. no SNP genotype term) including fixed effects covariates, such as PC-AiR PCs, and random effects specified by their covariance structures, such as a kinship matrix created from PC-Relate output using pcrelateMakeGRM. The function fitNullMM uses AIREML to estimate variance components for the random effects, and the function varCompCI can be used to find confidence intervals on the estimates as well as the proportion of total variability they explain; this allows for heritability estimation. Second, assocTestMM is called with the null model output and the genotype data to perform either Wald or score based association tests.

Author(s)

Matthew P. Conomos, Stephanie M. Gogarten, Lisa Brown, Han Chen, Ken Rice, Tamar Sofer, Timothy Thornton, Chaoyu Yu

Maintainer: Stephanie M. Gogarten <sdmorris@uw.edu>

References

Conomos M.P., Miller M., & Thornton T. (2015). Robust Inference of Population Structure for Ancestry Prediction and Correction of Stratification in the Presence of Relatedness. Genetic Epidemiology, 39(4), 276-293.

Conomos M.P., Reiner A.P., Weir B.S., & Thornton T.A. (2016). Model-free Estimation of Recent Genetic Relatedness. American Journal of Human Genetics, 98(1), 127-148.

Manichaikul, A., Mychaleckyj, J.C., Rich, S.S., Daly, K., Sale, M., & Chen, W.M. (2010). Robust relationship inference in genome-wide association studies. Bioinformatics, 26(22), 2867-2873.

admixMapMM

admixMapMM

Description

Run admixture analyses

Usage

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Arguments

admixDataList named list of GenotypeData objects for each ancestry

nullMMobj A null model object returned by fitNullMM.

snp.include A vector of SNP IDs to include in the analysis. If NULL, see chromosome for

further details.

chromosome A vector of integers specifying which chromosomes to analyze. This parameter

is only considered when snp. include is NULL; if chromosome is also NULL,

then all SNPs are included.

snp.block.size The number of SNPs to read-in/analyze at once. The default value is 5000.

verbose Logical indicator of whether updates from the function should be printed to the

console; the default is TRUE.

Details

admixDataList should have one value for each ancestry. See the example for how one might set up this object. List names will propagate to the output file.

Value

data frame with admixture mapping results

Author(s)

Matthew P. Conomos, Lisa Brown

References

Brown, L.A. et al. (2017). Admixture Mapping Identifies an Amerindian Ancestry Locus Associated with Albuminuria in Hispanics in the United States. J Am Soc Nephrol. 28(7):2211-2220.

See Also

GenotypeData, fitNullMM, assocTestMM

```
library(GWASTools)
library(gdsfmt)
# create file with multiple ancestries
gdsfile <- system.file("extdata", "HapMap_ASW_MXL_geno.gds", package="GENESIS")</pre>
tmpfile <- tempfile()</pre>
file.copy(gdsfile, tmpfile)
gds <- openfn.gds(tmpfile, readonly=FALSE)</pre>
nsnp <- objdesp.gdsn(index.gdsn(gds, "snp.id"))$dim</pre>
nsamp <- objdesp.gdsn(index.gdsn(gds, "sample.id"))$dim</pre>
dosage_eur <- sample(0:2, nsnp*nsamp, replace=TRUE)</pre>
dosage\_afr <- ifelse(dosage\_eur == 2, 0, sample(0:1, nsnp*nsamp, replace=TRUE))
dosage_amer <- 2 - dosage_eur - dosage_afr</pre>
\verb| add.gdsn(gds, "dosage_eur", matrix(dosage_eur, nrow=nsamp, ncol=nsnp))| \\
add.gdsn(gds, "dosage_afr", matrix(dosage_afr, nrow=nsamp, ncol=nsnp))
add.gdsn(gds, "dosage_amer", matrix(dosage_amer, nrow=nsamp, ncol=nsnp))
closefn.gds(gds)
```

```
# read GRM
pcrfile <- system.file("extdata", "HapMap_ASW_MXL_pcrelate.gds", package="GENESIS")</pre>
pcr <- openfn.gds(pcrfile)</pre>
mypcrel <- pcrelateMakeGRM(pcr)</pre>
closefn.gds(pcr)
# generate a phenotype
set.seed(4)
pheno <- rnorm(nsamp, mean = 0, sd = 1)</pre>
covar <- sample(0:1, nsamp, replace=TRUE)</pre>
# make ScanAnnotationDataFrame
scanAnnot <- ScanAnnotationDataFrame(data.frame(scanID = rownames(mypcrel),</pre>
               covar, pheno, stringsAsFactors=FALSE))
# read in GDS data
gds <- openfn.gds(tmpfile)</pre>
genoDataList <- list()</pre>
for (anc in c("eur", "afr", "amer")){
  gdsr <- GdsGenotypeReader(gds, genotypeVar=paste0("dosage_", anc))</pre>
  genoDataList[[anc]] <- GenotypeData(gdsr, scanAnnot=scanAnnot)</pre>
# fit the null mixed model
nullmod <- fitNullMM(scanData = scanAnnot, outcome = "pheno", covars = "covar", covMatList = mypcrel)</pre>
# run the association test
myassoc <- admixMapMM(genoDataList, nullMMobj = nullmod)</pre>
close(genoDataList[[1]])
unlink(tmpfile)
```

 $as soc {\tt TestAggregate}$

Aggregate Association Testing

Description

assocTestAggregate performs aggregate association tests using the null model fit with fitNullModel.

Usage

Arguments

gdsobj

An object of class SeqVarIterator from the package **SeqVarTools** containing the genotype data for the variants and samples to be used for the analysis.

null.model A null model object returned by fitNullModel.

AF .max A numeric value specifying the upper bound on the alternate allele frequency

for variants to be included in the analysis.

weight.beta A numeric vector of length two specifying the two parameters of the Beta distri-

bution used to determine variant weights; weights are given by dbeta(MAF, a, b), where MAF is the minor allele frequency, and a and b are the two parameters

specified here. weight.beta = c(1,25) gives the Wu weights; weight.beta = c(0.5, 0.5)

is proportional to the Madsen-Browning weights; and weight.beta = c(1,1) gives a weight of 1 to all variants. This input is ignored when weight.user is

not NULL.

weight.user A character string specifying the name of a variable in the variantData slot of

gdsobj to be used as variant weights. When left NULL (the default), the weights

specified by weight.beta will be used.

test A character string specifying the type of test to be performed. The possibilities

are "Burden" (default), "SKAT", or "SMMAT". When this is set to "SKAT" and

the parameter rho has multiple values, a SKAT-O test is performed.

burden.test A character string specifying the type of Burden test to perform when test = "Burden".

The possibilities are "Score" and "Wald". "Score" can be used for any null.model. "Wald" can not be used when the null.model is from a mixed model with a bi-

nary outcome variable.

rho A numeric value (or vector of numeric values) in [0,1] specifying the rho pa-

rameter for SKAT. When rho = 0, a standard SKAT test is performed. When rho = 1, a score burden test is performed. When rho is a vector of values, SKAT-O is performed using each of those values as the search space for the

optimal rho.

pval.method A character string specifying which method to use to calculate SKAT p-values.

"davies" (the default) uses numerical integration; "kuonen" uses a saddlepoint method; and "liu" uses a moment matching approximation. If the davies

method generates an error, kuonen is tried, and then liu as a last resort.

verbose Logical indicator of whether updates from the function should be printed to the

console; the default is TRUE.

Details

The type of aggregate unit tested depends on the class of iterator used for gdsobj. Options include sliding windows, specific ranges of variants or selection of individual variants (ranges with width 1). See SegVarIterator for more details.

The effect size estimate is for each copy of the alternate allele. For multiallelic variants, each alternate allele is tested separately.

Somewhat similarly to SKAT-O, the variant Set Mixed Model Association Test (SMMAT, Chen et al., manuscript in preparation) combines the burden test p-value with an adjusted SKAT (which is asymptotically independent of the burden test) p-value using a chi-square distribution with 4df from Fisher's method.

Value

A list with the following items:

results A data.frame containing the results from the main analysis. Each row is a sepa-

rate aggregate test:

If gdsobj is a SeqVarWindowIterator:

chr The chromosome value

start The start position of the window end The end position of the window

Always:

n.site The number of variant sites included in the test.n.alt The number of alternate alleles included in the test.

n.sample.alt The number of samples with an observed alternate allele at any variant in the

aggregate set.

If test is "Burden":

If burden. test is "Score":

Score The value of the score function

Score. SE The estimated standard error of the Score

 ${\tt Score.Stat} \qquad \quad {\tt The \ score \ Z \ test \ statistic}$

Score.pval The score p-value

If burden.test is "Wald":

Est The effect size estimate for a one unit increase in the burden value

Est. SE The estimated standard error of the effect size estimate

Wald.Stat The Wald Z test statistic

Wald.pval The Wald p-value

If test is "SKAT":

Q_rho The SKAT test statistic for the value of rho specified. There will be as many of

these variables as there are rho values chosen.

pval_rho The SKAT p-value for the value of rho specified. There will be as many of these

variables as there are rho values chosen.

err_rho Takes value 1 if there was an error in calculating the p-value for the value of

rho specified when using the "kuonen" or "davies" methods; 0 otherwise. When there is an error, the p-value returned is from the "liu" method. There will be as

many of these variables as there are rho values chosen.

When length(rho) > 1 and SKAT-O is performed:

min.pval The minimum p-value among the p-values calculated for each choice of rho.

opt.rho The optimal rho value; i.e. the rho value that gave the minimum p-value.

pval_SKATO The SKAT-O p-value after adjustment for searching across multiple rho values.

If test is "SMMAT":

pval_burden The burden test p-value pval_SMMAT The SMMAT p-value

err Takes value 1 if there was an error calculating the SMMAT p-value; 0 otherwise.

If err=1, pval_SMMAT is set to pval_burden.

variantInfo A list with as many elements as aggregate tests performed. Each element of the list is a data.frame providing information on the variants used in the aggregate test with results presented in the corresponding row of results. Each of these

data.frames has the following information:

variant.id The variant ID

chr The chromosome value pos The base pair position

n. obs The number of samples with non-missing genotypes

freq The estimated alternate allele frequency

weight The weight assigned to the variant in the analysis.

Author(s)

Matthew P. Conomos, Stephanie M. Gogarten, Tamar Sofer, Ken Rice, Chaoyu Yu, Han Chen

References

Leal, S.M. & Li, B. (2008). Methods for Detecting Associations with Rare Variants for Common Diseases: Application to Analysis of Sequence Data. American Journal of Human Genetics, 83(3): 311-321.

Browning, S.R. & Madsen, B.E. (2009). A Groupwise Association Test for Rare Mutations Using a Weighted Sum Statistic. PLoS Genetics, 5(2): e1000384.

Wu, M.C, Lee, S., Cai, T., Li, Y., Boehnke, M., & Lin, X. (2011). Rare-Variant Association Testing for Sequencing Data with the Sequence Kernel Association Test. American Journal of Human Genetics, 89(1): 82-93.

Lee, S. et al. (2012). Optimal Unified Approach for Rare-Variant Association Testing with Application to Small-Sample Case-Control Whole-Exome Sequencing Studies. American Journal of Human Genetics, 91(2): 224-237.

```
library(SeqVarTools)
library(Biobase)
library(GenomicRanges)
# open a sequencing GDS file
gdsfile <- seqExampleFileName("gds")</pre>
gds <- seqOpen(gdsfile)</pre>
# simulate some phenotype data
data(pedigree)
pedigree <- pedigree[match(seqGetData(gds, "sample.id"), pedigree$sample.id),]</pre>
pedigree$outcome <- rnorm(nrow(pedigree))</pre>
# construct a SeqVarData object
seqData <- SeqVarData(gds, sampleData=AnnotatedDataFrame(pedigree))</pre>
# fit the null model
nullmod <- fitNullModel(seqData, outcome="outcome", covars="sex")</pre>
# burden test - Range Iterator
gr <- GRanges(seqnames=rep(1,3), ranges=IRanges(start=c(1e6, 2e6, 3e6), width=1e6))</pre>
```

```
iterator <- SeqVarRangeIterator(seqData, variantRanges=gr)</pre>
assoc <- assocTestAggregate(iterator, nullmod, test="Burden")</pre>
assoc$results
lapply(assoc$variantInfo, head)
# SKAT test - Window Iterator
seqSetFilterChrom(seqData, include="22")
iterator <- SeqVarWindowIterator(seqData)</pre>
assoc <- assocTestAggregate(iterator, nullmod, test="SKAT")</pre>
head(assoc$results)
head(assoc$variantInfo)
# SKAT-0 test - List Iterator
seqResetFilter(iterator)
gr <- GRangesList(</pre>
  GRanges(seqnames=rep(22,2), ranges=IRanges(start=c(16e6, 17e6), width=1e6)),
  GRanges(seqnames=rep(22,2), ranges=IRanges(start=c(18e6, 20e6), width=1e6)))
iterator <- SeqVarListIterator(seqData, variantRanges=gr)</pre>
assoc <- assocTestAggregate(iterator, nullmod, test="SKAT", rho=seq(0, 1, 0.25))
assoc$results
assoc$variantInfo
# user-specified weights
seqResetFilter(iterator)
variant.id <- seqGetData(gds, "variant.id")</pre>
weights <- data.frame(variant.id, weight=runif(length(variant.id)))</pre>
variantData(seqData) <- AnnotatedDataFrame(weights)</pre>
iterator <- SeqVarListIterator(seqData, variantRanges=gr)</pre>
assoc <- assocTestAggregate(iterator, nullmod, test="Burden", weight.user="weight")</pre>
assoc$results
assoc$variantInfo
seqClose(seqData)
```

assocTestMM

SNP Genotype Association Testing with Mixed Models

Description

assocTestMM performs SNP genotype association tests using the null model fit with fitNullMM.

Usage

Arguments

genoData

An object of class GenotypeData from the package GWASTools containing the genotype data for SNPs and samples to be used for the analysis. This object can easily be created from a matrix of SNP genotype data, PLINK files, or GDS files. Alternatively, this could be an object of class SeqVarData from the package SeqVarTools containing the genotype data for the sequencing variants and samples to be used for the analysis.

nullMMobj A null model object returned by fitNullMM.

test A character string specifying the type of test to be performed. The possibilities

are "Wald" (default) or "Score"; only "Score" can be used when the family of

the null model fit with fitNullMM is not gaussian.

snp.include A vector of SNP IDs to include in the analysis. If NULL, see chromosome for

further details.

chromosome A vector of integers specifying which chromosomes to analyze. This parameter

is only considered when snp. include is NULL; if chromosome is also NULL,

then all SNPs are included.

impute.geno A logical indicator of whether sporadic missing genotype values should be mean

imputed. The default is TRUE. See 'Details' for further information.

snp.block.size The number of SNPs to read-in/analyze at once. The default value is 5000.

See 'Details' for further information regarding how this parameter works when

impute.geno is FALSE.

ivars A vector of character strings specifying the names of the variables for which a

genotype interaction term should be included. If NULL (default) no genotype

interactions are included. See 'Details' for further information.

ivar.return.betaCov

Logical indicator of whether the estimated covariance matrix of the effect size estimates (betas) for the genotype and genotype interaction terms should be re-

turned; the default is FALSE.

verbose Logical indicator of whether updates from the function should be printed to the

console: the default is TRUE.

Details

When impute geno is TRUE, sporadic missing genotype values are mean imputed using the minor allele frequency (MAF) calculated on all other samples at that SNP. When impute geno is FALSE, samples with missing values for all of the SNP genotypes in the current SNP block are removed from the analysis for the block; this may significantly slow down computation time because many pre-computed matrices need to be re-computed each time the sample set changes. Also note: when impute geno is FALSE, sporadic missingness for a sample inside of a SNP block will lead to an error

The input ivars can be used to perform GxE tests. Multiple interaction variables may be specified, but all interaction variables specified must have been included as covariates in fitting the null model with fitNullMM. When performing GxE analyses, assocTestMM will report two tests: (1) the joint test of all genotype interaction terms in the model (this is the test for any genotype interaction effect), and (2) the joint test of the genotype term along with all of the genotype interaction terms (this is the test for any genetic effect). Individual genotype interaction terms can be tested by creating Wald test statistics from the reported effect size estimates and their standard errors (Note: when ivars contains a single continuous or binary covariate, this test is the same as the test for any genotype interaction effect mentioned above). In order to test more complex hypotheses regarding subsets of multiple genotype interaction terms, ivar.return.betaCov can be used to retrieve the estimated covariance matrix of the effect size estimates.

Value

A data frame where each row refers to a different SNP with the columns:

snpID The SNP ID

chr The numeric chromosome value

n The number of samples used to analyze the SNP

MAF The estimated minor allele frequency

minor.allele Either "A" or "B" indicating which allele is the minor allele

If test is "Score":

Score The value of the score function

Var The variance of the score function

Score.Stat The score chi-squared test statistic

Score.pval The score p-value

If test is "Wald" and ivars is NULL:

Est The effect size estimate for each additional copy of the "A" allele

SE The estimated standard error of the effect size estimate

Wald.Stat The Wald chi-squared test statistic

Wald.pval The Wald p-value

If test is "Wald" and ivars is not NULL:

Est.G The effect size estimate for the genotype term

Est. G: ivar The effect size estimate for the genotype*ivar interaction term. There will be as

many of these terms as there are interaction variables, and "ivar" will be replaced

with the variable name.

SE.G The estimated standard error of the genotype term effect size estimate

SE.G: ivar The estimated standard error of the genotype*ivar effect size estimate. There

will be as many of these terms as there are interaction variables, and "ivar" will

be replaced with the variable name.

GxE. Stat The Wald chi-squared test statistic for the test of all genotype interaction terms.

When there is only one genotype interaction term, this is the test statistic for that

term.

GxE.pval The Wald p-value for the test of all genotype interaction terms; i.e. the test of

any genotype interaction effect

Joint . Stat The Wald chi-squared test statistic for the joint test of the genotype term and all

of the genotype interaction terms

Joint.pval The Wald p-value for the joint test of the genotype term and all of the genotype

interaction terms; i.e. the test of any genotype effect

When ivars is not NULL, if ivar.return.betaCov is TRUE, then the output is a list with two elements. The first, "results", is the data.frame described above. The second, "betaCov", is a list with length equal to the number of rows of "results", where each element of the list is the covariance matrix of the effect size estimates (betas) for the genotype and genotype interaction terms.

If genoData is a SeqVarData object, the effect size estimate is for each copy of the alternate allele.

Note

The GenotypeData function in the GWASTools package should be used to create the input genoData. Input to the GenotypeData function can easily be created from an R matrix or GDS file. PLINK .bed, .bim, and .fam files can easily be converted to a GDS file with the function snpgdsBED2GDS in the SNPRelate package. Alternatively, the SeqVarData function in the SeqVarTools package can be used to create the input genodata when working with sequencing data.

Author(s)

Matthew P. Conomos

See Also

fitNullMM for fitting the null mixed model needed as input to assocTestMM. qqPlot for a function to make QQ plots and manhattanPlot for a function to make Manhattan plots of p-values. GWASTools for a description of the package containing the following functions: GenotypeData for a description of creating a GenotypeData class object for storing sample and SNP genotype data, MatrixGenotypeReader for a description of reading in genotype data stored as a matrix, and GdsGenotypeReader for a description of reading in genotype data stored as a GDS file. Also see snpgdsBED2GDS in the SNPRelate package for a description of converting binary PLINK files to GDS.

```
library(GWASTools)
# file path to GDS file
gdsfile <- system.file("extdata", "HapMap_ASW_MXL_geno.gds", package="GENESIS")</pre>
# read in GDS data
HapMap_geno <- GdsGenotypeReader(filename = gdsfile)</pre>
# create a GenotypeData class object
HapMap_genoData <- GenotypeData(HapMap_geno)</pre>
# load saved matrix of KING-robust estimates
data("HapMap_ASW_MXL_KINGmat")
# run PC-AiR
mypcair <- pcair(genoData = HapMap_genoData, kinMat = HapMap_ASW_MXL_KINGmat,</pre>
                 divMat = HapMap_ASW_MXL_KINGmat)
# run PC-Relate
mypcrel <- pcrelate(genoData = HapMap_genoData, pcMat = mypcair$vectors[,1],</pre>
         training.set = mypcair$unrels)
# generate a phenotype
set.seed(4)
pheno <- 0.2*mypcair$vectors[,1] + rnorm(mypcair$nsamp, mean = 0, sd = 1)</pre>
# make ScanAnnotationDataFrame
scanAnnot <- ScanAnnotationDataFrame(data.frame(scanID = mypcrel$sample.id,</pre>
              pc1 = mypcair$vectors[,1], pheno = pheno))
# make covMatList
covMatList <- list("Kin" = pcrelateMakeGRM(mypcrel))</pre>
# fit the null mixed model
nullmod <- fitNullMM(scanData = scanAnnot, outcome = "pheno", covars = "pc1", covMatList = covMatList)</pre>
# run the association test
myassoc <- assocTestMM(genoData = HapMap_genoData, nullMMobj = nullmod)</pre>
close(HapMap_genoData)
# make a QQ plot
qqPlot(myassoc$Wald.pval)
```

assocTestSeq	Aggregate Association Testing with Sequencing Data	

Description

assocTestSeq performs aggregate association tests with sequencing data using the null model fit with fitNullMM or fitNullReg. This function is deprecated; use assocTestAggregate instead.

Usage

Arguments

seqData An object of class SeqVarData from the package SeqVarTools containing the

sequencing genotype data for variants and samples to be used for the analysis.

nullModObj A null model object returned by fitNullMM when using mixed models or fitNullReg

when using linear or logistic regression.

aggVarList A list specifying the variant aggregation units to be tested; each element of the list represents one aggregate test. Each element of the list should be a data.frame

that contains at least two columns: variant.id matching the variant.id in seqData for the variants that should be aggregated, and allele.index specifying which allele in seqData is to be tested at that variant.id. Multiple alleles can be included at the same variant location by including multiple rows with the same variant.id and different allele.index values. allele.index=0 indicates the reference allele, allele.index=1 is the first alternate allele, allele.index=2 is the second alternate allele (for multiallelic variants), and so on up to the number of possible alleles

per variant.

AF. sample A vector of sample id values specifying which samples should be used for allele

frequency calculation. When NULL (the default), all samples included in the test are used. Allele frequency calculation will affect variant inclusion based on

AF. range and variant weighting based on weight.beta.

AF. range A numeric vector of length two specifying the lower and upper bounds on the

alternate allele frequency for variants to be included in the analysis. Variants with alternate allele frequencies outside of this range are given a weight of $\boldsymbol{0}$

(i.e. excluded).

weight.beta A numeric vector of length two specifying the two parameters of the Beta distri-

bution used to determine variant weights; weights are given by dbeta(MAF, a, b), where MAF is the minor allele frequency, and a and b are the two parameters

specified here. weight.beta = c(1,25) gives the Wu weights; weight.beta = c(0.5, 0.5)

is proportional to the Madsen-Browning weights; and weight.beta = c(1,1) gives a weight of 1 to all variants. This input is ignored when weight.user is

not NULL.

weight.user A character string specifying the name of a variable in the variantData slot of the seqData object to be used as variant weights. When left NULL (the default),

the weights specified by weight. beta will be used.

test A character string specifying the type of test to be performed. The possibilities

are "Burden" (default) or "SKAT". When this is set to "SKAT" and the parameter

rho has multiple values, a SKAT-O test is performed.

burden.test A character string specifying the type of Burden test to perform when test

= "Burden". The possibilities are "Score", "Wald", and "Firth". "Score" can be used for any nullModObj. "Wald" can not be used when the nullModObj is from a mixed model with a binary outcome variable. "Firth" can only be used when the nullModObj is from a logistic regression with a binary outcome variable.

rho A numeric value (or vector of numeric values) in [0,1] specifying the rho pa-

rameter for SKAT. When rho = 0, a standard SKAT test is performed. When rho = 1, a score burden test is performed. When rho is a vector of values, SKAT-O is performed using each of those values as the search space for the optimal rho.

pval.method A character string specifying which method to use to calculate SKAT p-values.

"davies" (the default) uses numerical integration; "kuonen" uses a saddlepoint method; and "liu" uses a moment matching approximation. If the davies method

generates an error, kuonen is tried, and then liu as a last resort.

verbose Logical indicator of whether updates from the function should be printed to the

console; the default is TRUE.

Value

A list with the following items:

param A list with model parameters including:

AF. range The lower and upper bounds on the alternate allele frequency for variants that

were included in the analysis.

weight.beta The two parameters of the Beta distribution used to determine variant weights if

used. NULL otherwise.

weight.user A character string specifying the name of the variable in the variantData slot of

the seqData object used as variant weights if used, NULL otherwise.

family Either "gaussian" for a continous outcome or "binomial" for a binary outcome.

mixedmodel Logical indicating whether or not a mixed model was used to fit the null model.

test Specifies whether Burden, SKAT, or SKAT-O tests were performed.

burden.test If test = "Burden", specifies if Score, Wald, or Firth tests were performed.

rho The values of rho used in the SKAT or SKAT-O test.

pval.method The p-value calculation method used in SKAT or SKAT-O tests.

nsample A list with the following values:

analysis The number of samples included in the analysis.

AF The number of samples used to calculate allele frequencies.

results A data frame containing the results from the main analysis. Each row is a sepa-

rate aggregate test:

n.site The number of variant sites included in the test.

n.sample.alt The number of samples with an observed alternate allele at any variant in the

aggregate set.

If test is "Burden":

burden. skew The skewness of the burden value for all samples.

If burden. test is "Score":

Score The value of the score function

Var The variance of the score function

Score.stat The score chi-squared test statistic

Score.pval The score p-value

If burden. test is "Wald":

Est The effect size estimate for a one unit increase in the burden value

SE The estimated standard error of the effect size estimate

Wald.stat The Wald chi-squared test statistic

Wald.pval The Wald p-value

If burden. test is "Firth":

Est The effect size estimate for a one unit increase in the burden value

SE The estimated standard error of the effect size estimate

Firth.stat The Firth test statistic
Firth.pval The Firth p-value

If test is "SKAT":

Q_rho The SKAT test statistic for the value of rho specified. There will be as many of

these variables as there are rho values chosen.

pval_rho The SKAT p-value for the value of rho specified. There will be as many of these

variables as there are rho values chosen.

err_rho Takes value 1 if there was an error in calculating the p-value for the value of

rho specified when using the "kuonen" or "davies" methods; 0 otherwise. When there is an error, the p-value returned is from the "liu" method. There will be as

many of these variables as there are rho values chosen.

When length(rho) > 1 and SKAT-O is performed:

min.pval The minimum p-value among the p-values calculated for each choice of rho.

opt.rho The optimal rho value; i.e. the rho value that gave the minimum p-value.

pval_SKATO The SKAT-O p-value after adjustment for searching across multiple rho values.

variantInfo A list with as many elements as aggregate tests performed. Each element of the

list is a data.frame providing information on the variants used in the aggregate test with results presented in the corresponding row of results. Each of these

data.frames has the following information:

variantID The variant.id value from seqData.

allele The index of the allele in seqData.

chr The chromosome the variant is located on.

pos The position of the variant on the chromosome.

n. obs The number of samples with observed genotype values at the variant.

freq The allele frequency calculated using the samples specified by AF. sample (or

all samples if AF. sample is NULL) of the alternate allele given by the allele

index at the variant.

weight The weight assigned to the variant in the analysis. A weight of 0 means the

variant was excluded.

Author(s)

Matthew P. Conomos

```
## Not run:
library(SeqVarTools)
library(Biobase)
# open a sequencing GDS file
gdsfile <- seqExampleFileName("gds")</pre>
gds <- seqOpen(gdsfile)</pre>
# simulate some phenotype data
data(pedigree)
pedigree <- pedigree[match(seqGetData(gds, "sample.id"), pedigree$sample.id),]</pre>
pedigree$outcome <- rnorm(nrow(pedigree))</pre>
# construct a SeqVarData object
seqData <- SeqVarData(gds, sampleData=AnnotatedDataFrame(pedigree))</pre>
# fit the null model
nullmod <- fitNullReg(sampleData(seqData), outcome="outcome", covars="sex")</pre>
# select variant aggregation units (allele.index=1 tests the alternate allele)
agg <- list(data.frame(variant.id=1:100, allele.index=1),</pre>
             data.frame(variant.id=101:200, allele.index=1),
            data.frame(variant.id=201:300, allele.index=1))
# burden test
assoc <- assocTestSeq(seqData, nullmod, agg, test="Burden")</pre>
assoc$results
lapply(assoc$variantInfo, head)
assoc <- assocTestSeq(seqData, nullmod, agg, test="SKAT")</pre>
assoc$results
# SKAT-O test
assoc <- assocTestSeq(seqData, nullmod, agg, test="SKAT", rho=seq(0, 1, 0.25))</pre>
assoc$results
# user-specified weights
variant.id <- seqGetData(gds, "variant.id")</pre>
weights <- data.frame(variant.id, weight=runif(length(variant.id)))</pre>
variantData(seqData) <- AnnotatedDataFrame(weights)</pre>
assoc <- assocTestSeq(seqData, nullmod, agg, test="Burden", weight.user="weight")</pre>
assoc$results
lapply(assoc$variantInfo, head)
seqClose(seqData)
## End(Not run)
```

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assocTestSeqWindow	Aggregate Association Testing with Sequencing Data in Sliding Windows
	dows

Description

assocTestSeqWindow performs aggregate association tests with sequencing data in sliding windows using the null model fit with fitNullMM or fitNullReg. This function is deprecated; use assocTestAggregate instead.

Usage

```
assocTestSeqWindow(seqData, nullModObj, variant.include = NULL, chromosome = NULL,
                window.size = 50, window.shift = 20, AF.sample = NULL, AF.range = c(0,1),
                    weight.beta = c(1, 1), weight.user = NULL, test = "Burden",
                burden.test = "Score", rho = 0, pval.method = "davies", verbose = TRUE)
```

Arg

guments		
	seqData	An object of class SeqVarData from the package SeqVarTools containing the sequencing genotype data for variants and samples to be used for the analysis.
	nullModObj	A null model object returned by fitNullMM when using mixed models or fitNullReg when using linear or logistic regression.
	variant.include	
		A vector of variant.id values indicating which variants to include in the sliding window analysis. If NULL, see chromosome for further details.
	chromosome	A vector specifying which chromosomes to analyze. This parameter is only considred when variant.include is NULL; if chromosome is also NULL, then all variants in seqData are included.
	window.size	The size, in kb, of each window to be analyzed. Windows are defined based on physical position.
	window.shift	The distance, in kb, that the window is shifted to create each new window.
	AF.sample	A vector of sample.id values specifying which samples should be used for allele

A numeric vector of length two specifying the lower and upper bounds on the AF.range alternate allele frequency for variants to be included in the analysis. Variants

AF.range and variant weighting based on weight.beta.

with alternate allele frequencies outside of this range are given a weight of 0

frequency calculation. When NULL (the default), all samples included in the test are used. Allele frequency calculation will affect variant inclusion based on

(i.e. excluded).

A numeric vector of length two specifying the two parameters of the Beta distriweight.beta

> bution used to determine variant weights; weights are given by dbeta(MAF, a, b), where MAF is the minor allele frequency, and a and b are the two parameters

specified here. weight.beta = c(1,25) gives the Wu weights; weight.beta = c(0.5, 0.5)

is proportional to the Madsen-Browning weights; and weight.beta = c(1,1)gives a weight of 1 to all variants. This input is ignored when weight.user is

not NULL.

weight.user A character string specifying the name of a variable in the variantData slot of

the seqData object to be used as variant weights. When left NULL (the default),

the weights specified by weight. beta will be used.

test A character string specifying the type of test to be performed. The possibilities

are "Burden" (default) or "SKAT". When this is set to "SKAT" and the parameter

rho has multiple values, a SKAT-O test is performed.

burden.test A character string specifying the type of Burden test to perform when test

= "Burden". The possibilities are "Score", "Wald", and "Firth". "Score" can be used for any nullModObj. "Wald" can not be used when the nullModObj is from a mixed model with a binary outcome variable. "Firth" can only be used when the nullModObj is from a logistic regression with a binary outcome variable.

rho A numeric value (or vector of numeric values) in [0,1] specifying the rho pa-

rameter for SKAT. When rho = 0, a standard SKAT test is performed. When rho = 1, a score burden test is performed. When rho is a vector of values, SKAT-O is performed using each of those values as the search space for the optimal rho.

pval.method A character string specifying which method to use to calculate SKAT p-values.

"davies" (the default) uses numerical integration; "kuonen" uses a saddlepoint method; and "liu" uses a moment matching approximation. If the davies method

generates an error, kuonen is tried, and then liu as a last resort.

verbose Logical indicator of whether updates from the function should be printed to the

console; the default is TRUE.

Value

A list with the following items:

param A list with model parameters including:

AF. range The lower and upper bounds on the alternate allele frequency for variants that

were included in the analysis.

used, NULL otherwise.

weight.user A character string specifying the name of the variable in the variantData slot of

the seqData object used as variant weights if used, NULL otherwise.

family Either "gaussian" for a continous outcome or "binomial" for a binary outcome.

mixedmodel Logical indicating whether or not a mixed model was used to fit the null model.

test Specifies whether Burden, SKAT, or SKAT-O tests were performed.

burden.test If test = "Burden", specifies if Score, Wald, or Firth tests were performed.

rho The values of rho used in the SKAT or SKAT-O test.

pval.method The p-value calculation method used in SKAT or SKAT-O tests.

window A list with the following values: size The size of the windows in kb

shift The distance each window was shifted, in kb, to create the next window.

nsample A list with the following values:

analysis The number of samples included in the analysis.

AF The number of samples used to calculate allele frequencies.

results A data frame containing the results from the main analysis. Each row is a sepa-

rate aggregate test:

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chr The chromosome that the window is on.
window.start The base position of the start of the window.
window.stop The base position of the end of the window.

The number of variant sites included in the test.

dup Takes the value 1 if the variants in this window are identical to the variants in

the previous window; takes the value 0 otherwise.

If test is "Burden":

burden. skew The skewness of the burden value for all samples.

If burden.test is "Score":

Score The value of the score function

Var The variance of the score function

Score.stat The score chi-squared test statistic

Score.pval The score p-value

If burden. test is "Wald":

Est The effect size estimate for a one unit increase in the burden value

SE The estimated standard error of the effect size estimate

Wald. stat The Wald chi-squared test statistic

Wald.pval The Wald p-value

If burden. test is "Firth":

Est The effect size estimate for a one unit increase in the burden value

SE The estimated standard error of the effect size estimate

Firth.stat The Firth test statistic
Firth.pval The Firth p-value

If test is "SKAT":

Q_rho The SKAT test statistic for the value of rho specified. There will be as many of

these variables as there are rho values chosen.

pval_rho The SKAT p-value for the value of rho specified. There will be as many of these

variables as there are rho values chosen.

err_rho Takes value 1 if there was an error in calculating the p-value for the value of

rho specified when using the "kuonen" or "davies" methods; 0 otherwise. When there is an error, the p-value returned is from the "liu" method. There will be as

many of these variables as there are rho values chosen.

When length(rho) > 1 and SKAT-O is performed:

min.pval The minimum p-value among the p-values calculated for each choice of rho.

opt.rho The optimal rho value; i.e. the rho value that gave the minimum p-value.

pval_SKATO The SKAT-O p-value after adjustment for searching across multiple rho values.

variantInfo A data.frame providing information on all of the variants used in the aggregate

tests across all of the windows. The data frame contains the following informa-

tion:

variantID The variant.id value from seqData.

allele The index of the allele in seqData.

chr The chromosome the variant is located on.

pos The position of the variant on the chromosome.

n. obs The number of samples with observed genotype values at the variant.

The allele frequency calculated using the samples specified by AF.sample (or

all samples if AF.sample is NULL) of the alternate allele given by the allele

index at the variant.

weight The weight assigned to the variant in the analysis. A weight of 0 means the

variant was excluded.

Author(s)

freq

Matthew P. Conomos

```
## Not run:
library(SeqVarTools)
library(Biobase)
# open a sequencing GDS file
gdsfile <- seqExampleFileName("gds")</pre>
gds <- seqOpen(gdsfile)</pre>
# simulate some phenotype data
data(pedigree)
pedigree <- pedigree[match(seqGetData(gds, "sample.id"), pedigree$sample.id),]</pre>
pedigree$outcome <- rnorm(nrow(pedigree))</pre>
# construct a SeqVarData object
seqData <- SeqVarData(gds, sampleData=AnnotatedDataFrame(pedigree))</pre>
# fit the null model
nullmod <- fitNullReg(sampleData(seqData), outcome="outcome", covars="sex")</pre>
# burden test
assoc <- assocTestSeqWindow(seqData, nullmod, chromosome=22, test="Burden")</pre>
head(assoc$results)
head(assoc$variantInfo)
# SKAT test
assoc <- assocTestSeqWindow(seqData, nullmod, chromosome=22, test="SKAT")</pre>
head(assoc$results)
# SKAT-O test
assoc <- assocTestSeqWindow(seqData, nullmod, chromosome=22, test="SKAT", rho=seq(0, 1, 0.25))
head(assoc$results)
# user-specified weights
variant.id <- seqGetData(gds, "variant.id")</pre>
weights <- data.frame(variant.id, weight=runif(length(variant.id)))</pre>
variantData(seqData) <- AnnotatedDataFrame(weights)</pre>
assoc <- assocTestSeqWindow(seqData, nullmod, chromosome=22, test="Burden", weight.user="weight")
```

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```
head(assoc$results)
head(assoc$variantInfo)
seqClose(seqData)
## End(Not run)
```

 $as soc {\tt TestSingle}$

Genotype Association Testing with Mixed Models

Description

assocTestSingle performs genotype association tests using the null model fit with fitNullModel.

Usage

console; the default is TRUE.

Arguments

gdsobj	An object of class SeqVarIterator from the package SeqVarTools containing the genotype data for the variants and samples to be used for the analysis.
null.model	A null model object returned by fitNullModel.
test	A character string specifying the type of test to be performed. The possibilities are "Score" (default) or "Wald"; only "Score" can be used when the family of the null model fit with fitNullModel is not gaussian.
GxE	A vector of character strings specifying the names of the variables for which a genotype interaction term should be included. If NULL (default) no genotype interactions are included. See 'Details' for further information.
verbose	Logical indicator of whether updates from the function should be printed to the

Details

Sporadic missing genotype values are mean imputed using the minor allele frequency (MAF) calculated on all other samples at that variant.

The input GxE can be used to perform GxE tests. Multiple interaction variables may be specified, but all interaction variables specified must have been included as covariates in fitting the null model with fitNullModel. When performing GxE analyses, assocTestSingle will report two tests: (1) the joint test of all genotype interaction terms in the model (this is the test for any genotype interaction effect), and (2) the joint test of the genotype term along with all of the genotype interaction terms (this is the test for any genetic effect). Individual genotype interaction terms can be tested by creating Wald test statistics from the reported effect size estimates and their standard errors (Note: when GxE contains a single continuous or binary covariate, this test is the same as the test for any genotype interaction effect mentioned above).

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Value

A data frame where each row refers to a different variant with the columns:

variant.id The variant ID

chr The chromosome value pos The base pair position

allele. index The index of the alternate allele. For biallelic variants, this will always be 1.

n. obs The number of samples with non-missing genotypes

freq The estimated alternate allele frequency

If test is "Score":

Score The value of the score function

Score . SE The estimated standard error of the Score

Score.Stat The score Z test statistic
Score.pval The score p-value

If test is "Wald" and GxE is NULL:

Est The effect size estimate for each additional copy of the alternate allele

Est.SE The estimated standard error of the effect size estimate

Wald. Stat The Wald Z test statistic

Wald.pval The Wald p-value

If test is "Wald" and GxE is not NULL:

Est.G The effect size estimate for the genotype term

Est.G:env The effect size estimate for the genotype*env interaction term. There will be as

many of these terms as there are interaction variables, and "env" will be replaced

with the variable name.

SE.G The estimated standard error of the genotype term effect size estimate

SE.G: env The estimated standard error of the genotype*env effect size estimate. There

will be as many of these terms as there are interaction variables, and "env" will

be replaced with the variable name.

GxE.Stat The Wald Z test statistic for the test of all genotype interaction terms. When

there is only one genotype interaction term, this is the test statistic for that term.

GxE.pval The Wald p-value for the test of all genotype interaction terms; i.e. the test of

any genotype interaction effect

Joint.Stat The Wald Z test statistic for the joint test of the genotype term and all of the

genotype interaction terms

Joint.pval The Wald p-value for the joint test of the genotype term and all of the genotype

interaction terms; i.e. the test of any genotype effect

The effect size estimate is for each copy of the alternate allele. For multiallelic variants, each alternate allele is tested separately.

Author(s)

Matthew P. Conomos, Stephanie M. Gogarten, Tamar Sofer, Ken Rice, Chaoyu Yu

See Also

fitNullModel for fitting the null mixed model needed as input to assocTestSingle. SeqVarIterator for creating the input object with genotypes.

Examples

```
library(SeqVarTools)
library(Biobase)
# open a sequencing GDS file
gdsfile <- seqExampleFileName("gds")</pre>
gds <- seqOpen(gdsfile)</pre>
# simulate some phenotype data
data(pedigree)
pedigree <- pedigree[match(seqGetData(gds, "sample.id"), pedigree$sample.id),]</pre>
pedigree$outcome <- rnorm(nrow(pedigree))</pre>
# construct a SeqVarIterator object
seqData <- SeqVarData(gds, sampleData=AnnotatedDataFrame(pedigree))</pre>
iterator <- SeqVarBlockIterator(seqData)</pre>
# fit the null model
nullmod <- fitNullModel(iterator, outcome="outcome", covars="sex")</pre>
# run the association test
assoc <- assocTestSingle(iterator, nullmod)</pre>
seqClose(iterator)
```

fitNullMM

Fit a Mixed Model Under the Null Hypothesis

Description

fitNullMM fits a mixed model with random effects specified by their covariance structures; this allows for the inclusion of a polygenic random effect using a kinship matrix or genetic relationship matrix (GRM). The output of fitNullMM can be used to estimate genetic heritability and can be passed to assocTestMM for the purpose of genetic association testing.

Usage

Arguments

scanData An object of class ScanAnnotationDataFrame from the package GWASTools,

column scanID containing unique IDs for all samples.

outcome A character string specifying the name of the outcome variable in scanData.

A vector of character strings specifying the names of the fixed effect covariates covars in scanData; an intercept term is automatically included. If NULL (default) the only fixed effect covariate is the intercept term. covMatList A list of matrices specifying the covariance structures of the random effects terms. The column and row names of each of these matrices must match the scanIDs from scanData. If only one random effect is being used, a single matrix (not in a list) can be used. See 'Details' for more information. scan.include A vector of scanIDs for samples to include in the analysis. If NULL, all samples in scanData are included. family A description of the error distribution to be used in the model. The default "gaussian" fits a linear mixed model; see family for further options, and see 'Details' for more information. group.var This variable can only be used when family = gaussian. A character string specifying the name of a categorical variable in scanData that is used to fit heterogeneous residual error variances. If NULL (default), then a standard LMM with constant residual variance for all samples is fit. See 'Details' for more information. start A vector of starting values for the variance component estimation procedure. The function will pick reasonable starting values when left NULL (default). See 'Details' for more information. AIREML.tol The convergence threshold for the Average Information REML (AIREML) procedure used to estimate the variance components of the random effects. See 'Details' for more information. maxIter The maximum number of iterations allowed in the AIREML procedure. Logical indicator of whether variance component terms that converge to 0 should dropZeros be removed from the model; the default is TRUE. See 'Details' for more information. Logical indicator of whether updates from the function should be printed to the verbose console: the default is TRUE.

Details

covMatList is used to specify the covariance structures of the random effects terms in the model. For example, to include a polygenic random effect, one matrix in covMatList could be a kinship matrix or a genetic relationship matrix (GRM). As another example, to include household membership as a random effect, one matrix in covMatList should be a 0/1 matrix with a 1 in the [i,j] and [j,i] entries if individuals i and j are in the same household and 0 otherwise; the diagonals of such a matrix should all be 1.

When family is not gaussian, the penalized quasi-likelihood (PQL) approximation to the generalized linear mixed model (GLMM) is fit following the procedure of GMMAT (Chen et al.).

For some outcomes, there may be evidence that different groups of observations have different residual variances, and the standard LMM assumption of homoscedasticity is violated. When group.var is specified, separate (heterogeneous) residual variance components are fit for each unique value of group.var.

Let m be the number of matrices in covMatList and let g be the number of categories in the variable specified by group.var. The length of the start vector must be (m+1) when family is gaussian and group.var is NULL; (m+g) when family is gaussian and group.var is specified; or m when family is not gaussian.

A Newton-Raphson iterative procedure with Average Information REML (AIREML) is used to estimate the variance components of the random effects. When the Euclidean distance between the new and previous variance component estimates is less than AIREML.tol, the algorithm declares convergence of the estimates. Sometimes a variance component may approach the boundary of the parameter space at 0; step-halving is used to prevent any component from becomming negative. However, when a variance component gets near the 0 boundary, the algorithm can sometimes get "stuck", preventing the other variance components from converging; if dropZeros is TRUE, then variance components that converge to a value less than AIREML.tol will be dropped from the model and the estimation procedure will continue with the remaining variance components.

Value

An object of class 'GENESIS. nullMixedModel'. A list including:

varComp

The variance component estimates. There is one variance component for each random effect specified in covMatList. When family is gaussian, there are additional residual variance components; one residual variance component when group.var is NULL, and as many residual variance components as there are unique values of group.var when it is specified.

varCompCov The estimated covariance matrix of the variance component estimates given by

varComp. This can be used for hypothesis tests regarding the variance compo-

nents.

fixef A data.frame with effect size estimates (betas), standard errors, chi-squared test

statistics, and p-values for each of the fixed effect covariates specified in covars.

betaCov The estimated covariance matrix of the effect size estimates (betas) of the fixed

effect covariates. This can be used for hypothesis tests regarding the fixed ef-

fects.

fitted.values The fitted values from the mixed model; i.e. W*beta where W is the design

matrix and beta are the effect size estimates for the fixed effects.

resid.marginal The marginal residuals from the mixed model; i.e. Y - W*beta where Y is the

vector of outcome values.

eta The linear predictor from the mixed model; i.e. W*beta + Z*u where Z*u spec-

ifies the effects of the random effects.

resid.conditional

The conditional residuals from the mixed model; i.e. Y - W*beta - Z*u.

logLikR The restricted log-likelihood value.

logLik The log-likelihood value.

AIC The Akaike Information Criterion value.

RSS The residual sum of squares from the model fit. When family is gaussian, this

will typically be 1 since the residual variance component is estimated separately.

working? The "working" outcome vector. When family is gaussian, this is just the origi-

nal outcome vector. When family is not gaussian, this is the PQL linearization of the outcome vector. This is used by assocTestMM for genetic association

testing. See 'Details' for more information.

outcome The original outcome vector. When family is gaussian, this is equal to workingY.

model.matrix The design matrix for the fixed effect covariates used in the model.

cholSigmaInv The Cholesky decomposition of the inverse of the estimated outcome covariance

structure. This is used by assocTestMM for genetic association testing.

scanID A vector of scanIDs for the samples used in the analysis.

family	A character string specifying the family used in the analysis.
converged	A logical indicator of whether the AIREML procedure for estimating the random effects variance components converged.
zeroFLAG	A vector of logicals the same length as varComp specifying whether the corresponding variance component estimate was set to 0 by the function due to convergence to the boundary in the AIREML procedure.
hetResid	A logical indicator of whether heterogeneous residual variance components were used in the model (specified by group.var).
call	The call to fitNullMM.

Author(s)

Matthew P. Conomos

References

Chen H, Wang C, Conomos MP, Stilp AM, Li Z, Sofer T, Szpiro AA, Chen W, Brehm JM, Celedon JC, Redline S, Papanicolaou GJ, Thornton TA, Laurie CC, Rice K and Lin X. Control for Population Structure and Relatedness for Binary Traits in Genetic Association Studies Using Logistic Mixed Models. American Journal of Human Genetics, 98(4):653-66.

Breslow NE and Clayton DG. (1993). Approximate Inference in Generalized Linear Mixed Models. Journal of the American Statistical Association 88: 9-25.

Gilmour, A.R., Thompson, R., & Cullis, B.R. (1995). Average information REML: an efficient algorithm for variance parameter estimation in linear mixed models. Biometrics, 1440-1450.

See Also

varCompCI for estimating confidence intervals for the variance components and the proportion of variability (heritability) they explain, assocTestMM for running mixed model genetic association tests using the output from fitNullMM. GWASTools for a description of the package containing the ScanAnnotationDataFrame class.

```
library(GWASTools)
# file path to GDS file
gdsfile <- system.file("extdata", "HapMap_ASW_MXL_geno.gds", package="GENESIS")</pre>
# read in GDS data
HapMap_geno <- GdsGenotypeReader(filename = gdsfile)</pre>
# create a GenotypeData class object
HapMap_genoData <- GenotypeData(HapMap_geno)</pre>
# load saved matrix of KING-robust estimates
data("HapMap_ASW_MXL_KINGmat")
# run PC-AiR
mypcair <- pcair(genoData = HapMap_genoData, kinMat = HapMap_ASW_MXL_KINGmat,</pre>
                divMat = HapMap_ASW_MXL_KINGmat)
# run PC-Relate
mypcrel <- pcrelate(genoData = HapMap_genoData, pcMat = mypcair$vectors[,1],</pre>
     training.set = mypcair$unrels)
close(HapMap_genoData)
```

fitNullModel

Fit a Model Under the Null Hypothesis

Description

fitNullModel fits a regression model or a mixed model with random effects specified by their covariance structures; this allows for the inclusion of a polygenic random effect using a kinship matrix or genetic relationship matrix (GRM). The output of fitNullModel can be used to estimate genetic heritability and can be passed to assocTestSingle or assocTestAggregate for the purpose of genetic association testing.

nullModelInvNorm does an inverse normal transform of a previously fit null model.

Usage

Arguments

x An object of class data. frame, AnnotatedDataFrame, or SeqVarData containing the outcome and covariate data for the samples to be used for the analysis.

outcome A character string specifying the name of the outcome variable in x.

covars A vector of character strings specifying the names of the fixed effect covariates in x; an intercept term is automatically included. If NULL (default) the only fixed

effect covariate is the intercept term.

cov.mat	A matrix or list of matrices specifying the covariance structures of the random effects terms. Objects from the Matrix package are supported. See 'Details' for more information.
group.var	This variable can only be used when family = "gaussian". A character string specifying the name of a categorical variable in x that is used to fit heterogeneous residual error variances. If NULL (default), then a standard LMM with constant residual variance for all samples is fit. See 'Details' for more information.
sample.id	A vector of IDs for samples to include in the analysis. If NULL, all samples in x are included.
family	A description of the error distribution to be used in the model. The default "gaussian" fits a linear model; see family for further options, and see 'Details' for more information.
start	A vector of starting values for the variance component estimation procedure. The function will pick reasonable starting values when left NULL (default). See 'Details' for more information.
AIREML.tol	The convergence threshold for the Average Information REML (AIREML) procedure used to estimate the variance components of the random effects. See 'Details' for more information.
max.iter	The maximum number of iterations allowed in the AIREML procedure.
drop.zeros	Logical indicator of whether variance component terms that converge to 0 should be removed from the model; the default is TRUE. See 'Details' for more information.
verbose	Logical indicator of whether updates from the function should be printed to the console; the default is TRUE.
	Arguments to pass to other methods.
null.model	The output of fitNullModel.
norm.option	Whether the normalization should be done separately within each value of group.var ("by.group") or with all samples together ("all").
rescale	Controls whether to rescale the variance for each group after inverse-normal transform, restoring it to the original variance before the transform. "none" for no rescaling of the residuals; "model" for model-based rescaling, and "residSD" to rescale to the standard deviation of the marginal residuals.

Details

cov.mat is used to specify the covariance structures of the random effects terms in the model. For example, to include a polygenic random effect, one matrix in cov.mat could be a kinship matrix or a genetic relationship matrix (GRM). As another example, to include household membership as a random effect, one matrix in cov.mat should be a 0/1 matrix with a 1 in the [i,j] and [j,i] entries if individuals i and j are in the same household and 0 otherwise; the diagonals of such a matrix should all be 1.

When family is not gaussian, the penalized quasi-likelihood (PQL) approximation to the generalized linear mixed model (GLMM) is fit following the procedure of GMMAT (Chen et al.).

For some outcomes, there may be evidence that different groups of observations have different residual variances, and the standard LMM assumption of homoscedasticity is violated. When group.var is specified, separate (heterogeneous) residual variance components are fit for each unique value of group.var.

Let m be the number of matrices in cov.mat and let g be the number of categories in the variable specified by group.var. The length of the start vector must be (m + 1) when family is gaussian

and group.var is NULL; (m + g) when family is gaussian and group.var is specified; or m when family is not gaussian.

A Newton-Raphson iterative procedure with Average Information REML (AIREML) is used to estimate the variance components of the random effects. When the Euclidean distance between the new and previous variance component estimates is less than AIREML.tol, the algorithm declares convergence of the estimates. Sometimes a variance component may approach the boundary of the parameter space at 0; step-halving is used to prevent any component from becomming negative. However, when a variance component gets near the 0 boundary, the algorithm can sometimes get "stuck", preventing the other variance components from converging; if drop.zeros is TRUE, then variance components that converge to a value less than AIREML.tol will be dropped from the model and the estimation procedure will continue with the remaining variance components.

Value

An object of class 'GENESIS. nullModel' or 'GENESIS. nullMixedModel'. A list including:

family A character string specifying the family used in the analysis.

hetResid A logical indicator of whether heterogeneous residual variance components were

used in the model (specified by group.var).

varComp The variance component estimates. There is one variance component for each

random effect specified in cov.mat. When family is gaussian, there are additional residual variance components; one residual variance component when group.var is NULL, and as many residual variance components as there are

unique values of group. var when it is specified.

varCompCov The estimated covariance matrix of the variance component estimates given by

varComp. This can be used for hypothesis tests regarding the variance compo-

nents.

fixef A data.frame with effect size estimates (betas), standard errors, chi-squared test

statistics, and p-values for each of the fixed effect covariates specified in covars.

betaCov The estimated covariance matrix of the effect size estimates (betas) of the fixed

effect covariates. This can be used for hypothesis tests regarding the fixed ef-

fects.

fitted.values The fitted values from the model; i.e. W*beta where W is the design matrix and

beta are the effect size estimates for the fixed effects.

resid.marginal The marginal residuals from the model; i.e. Y - W*beta where Y is the vector

of outcome values.

resid.conditional

The conditional residuals from the model; i.e. Y - W*beta - Z*u.

logLik The log-likelihood value.

 ${\tt logLikR} \qquad \qquad {\tt The \ restricted \ log-likelihood \ value}.$

AIC The Akaike Information Criterion value.

working? The "working" outcome vector. When family is gaussian, this is just the origi-

nal outcome vector. When family is not gaussian, this is the PQL linearization of the outcome vector. This is used by assocTestSingle or assocTestAggregate

for genetic association testing. See 'Details' for more information.

outcome The original outcome vector, as a 1-column matrix with column name. When

family is gaussian, this is equal to workingY.

model.matrix The design matrix for the fixed effect covariates used in the model.

group.idx If group.var is not NULL, a list of indices for samples in each group.

cholSigmaInv	The Cholesky decomposition of the inverse of the estimated outcome covariance structure. This is used by assocTestSingle or assocTestAggregate for genetic association testing.
converged	A logical indicator of whether the AIREML procedure for estimating the random effects variance components converged.
zeroFLAG	A vector of logicals the same length as varComp specifying whether the corresponding variance component estimate was set to 0 by the function due to convergence to the boundary in the AIREML procedure.
RSS	The residual sum of squares from the model fit. When family is gaussian, this will typically be 1 since the residual variance component is estimated separately.
sample.id	A vector of IDs for the samples used in the analysis.

Author(s)

Matthew P. Conomos, Stephanie M. Gogarten, Tamar Sofer, Ken Rice, Chaoyu Yu

References

Chen H, Wang C, Conomos MP, Stilp AM, Li Z, Sofer T, Szpiro AA, Chen W, Brehm JM, Celedon JC, Redline S, Papanicolaou GJ, Thornton TA, Laurie CC, Rice K and Lin X. (2016) Control for Population Structure and Relatedness for Binary Traits in Genetic Association Studies Using Logistic Mixed Models. American Journal of Human Genetics, 98(4):653-66.

Breslow NE and Clayton DG. (1993). Approximate Inference in Generalized Linear Mixed Models. Journal of the American Statistical Association 88: 9-25.

Gilmour, A.R., Thompson, R., & Cullis, B.R. (1995). Average information REML: an efficient algorithm for variance parameter estimation in linear mixed models. Biometrics, 1440-1450.

See Also

varCompCI for estimating confidence intervals for the variance components and the proportion of variability (heritability) they explain, assocTestSingle or assocTestAggregate for running genetic association tests using the output from fitNullModel.

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fitNullReg

Fit a Regression Model Under the Null Hypothesis

Description

fitNullReg fits a regression model. The output of fitNullReg can be passed to assocTestSeq or assocTestSeqWindow for the purpose of genetic association testing.

Usage

Arguments

scanData	An object of class ScanAnnotationDataFrame from the package GWASTools, AnnotatedDataFrame, or class data.frame containing the outcome and covariate data for the samples to be used for the analysis. scanData must have a column scanID containing unique IDs for all samples.
outcome	A character string specifying the name of the outcome variable in scanData.
covars	A vector of character strings specifying the names of the fixed effect covariates in scanData; an intercept term is automatically included. If NULL (default) the only fixed effect covariate is the intercept term.
scan.include	A vector of scanIDs for samples to include in the analysis. If NULL, all samples in $scanData$ are included.
family	A description of the error distribution to be used in the model. The default "gaussian" fits a linear model; see family for further options.
verbose	Logical indicator of whether updates from the function should be printed to the console; the default is TRUE.

Value

An object of class 'GENESIS. nullModel'. A list including:

fixef A data frame with effect size estimates (betas), standard errors, chi-squared test

statistics, and p-values for each of the fixed effect covariates specified in covars.

betaCov The estimated covariance matrix of the effect size estimates (betas) of the fixed

effect covariates. This can be used for hypothesis tests regarding the fixed ef-

fects.

resid.response The residuals from the model.

logLik The log-likelihood value.

AIC The Akaike Information Criterion value.

working? The "working" outcome vector. When family is gaussian, this is just the origi-

nal outcome vector. When family is not gaussian, this is the PQL linearization of the outcome vector. This is used by assocTestSeq for genetic association

testing.

model.matrix The design matrix for the fixed effect covariates used in the model.

aliased Coefficients removed from the model.

sigma Variance of the model.

scanID A vector of scanIDs for the samples used in the analysis.

family A character string specifying the family used in the analysis.

Author(s)

Matthew P. Conomos

GENESIS-deprecated Deprecated functions in package GENESIS

Description

These functions are provided for compatibility with older versions of **GENESIS** only, and will be defunct at the next release.

Details

The following functions are deprecated and will be made defunct; use the replacement indicated below:

• assocTestSeq: assocTestAggregate

assocTestSeqWindow: assocTestAggregate

HapMap_ASW_MXL_KINGmat

Matrix of Pairwise Kinship Coefficient Estimates for the combined HapMap ASW and MXL Sample found with the KING-robust estimator from the KING software.

Description

KING-robust kinship coefficient estimates for the combined HapMap African Americans in the Southwest U.S. (ASW) and Mexican Americans in Los Angeles (MXL) samples.

Usage

```
data(HapMap_ASW_MXL_KINGmat)
```

Format

The format is: num [1:173, 1:173] 0 0.00157 -0.00417 0.00209 0.00172 ...

Value

A matrix of pairwise kinship coefficient estimates as calculated with KING-robust for the combined HapMap African Americans in the Southwest U.S. (ASW) and Mexican Americans in Los Angeles (MXL) samples.

Source

http://hapmap.ncbi.nlm.nih.gov/

References

International HapMap 3 Consortium. (2010). Integrating common and rare genetic variation in diverse human populations. Nature, 467(7311), 52-58.

king2mat

Convert KING text output to an R Matrix

Description

king2mat is used to extract the pairwise kinship coefficient estimates or IBSO values from the output text files of KING and put them into an R object of class matrix that can be read by the functions pcair and pcairPartition.

Usage

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Arguments

file.kin0	File name of the .kin0 text file output from KING.
file.kin	Optional file name of the .kin text file output from KING.
iids	An optional vector of individual IDs in the same order as desired for the output matrix. See 'Details' for more information.
type	Character string taking the values "kinship" (default) or "IBS0", to inform the function to read in kinship coefficeints or IBS0 values from the KING output.
verbose	A logical indicating whether or not to print status updates to the console; the default is TRUE.

Details

When using the function pcair, it is important that the order of individuals in the kinMat matrix matches the order of individuals in genoData. The KING software has a tendency to reorder individuals. If iids = NULL, the default is for the order to be taken from the KING output text file. By specifying iids the user can control the order of individuals in the output matrix. The IDs used for iids must be the same set of character IDs that are output as columns 'ID1' and 'ID2' in the KING output text files; all of the IDs specified in iids must be in the KING output, and all IDs in the KING output must be specified in iids.

Value

An object of class 'matrix' with pairwise kinship coefficients or IBSO values as estimated by KING for each pair of individuals in the sample. The estimates are on both the upper and lower triangle of the matrix, and the diagonal is arbitrailly set to 0.5. Individual IDs are set as the column and row names of the matrix.

Author(s)

Matthew P. Conomos

References

Conomos M.P., Miller M., & Thornton T. (2015). Robust Inference of Population Structure for Ancestry Prediction and Correction of Stratification in the Presence of Relatedness. Genetic Epidemiology, 39(4), 276-293.

Manichaikul, A., Mychaleckyj, J.C., Rich, S.S., Daly, K., Sale, M., & Chen, W.M. (2010). Robust relationship inference in genome-wide association studies. Bioinformatics, 26(22), 2867-2873.

See Also

pcair and pcairPartition for functions that use the output matrix.

```
file.kin0 <- system.file("extdata", "MXL_ASW.kin0", package="GENESIS")
file.kin <- system.file("extdata", "MXL_ASW.kin", package="GENESIS")
KINGmat <- king2mat(file.kin0 = file.kin0, file.kin = file.kin, type="kinship")</pre>
```

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pcair

PC-AiR: Principal Components Analysis in Related Samples

Description

pcair is used to perform a Principal Components Analysis using genome-wide SNP data for the detection of population structure in a sample. Unlike a standard PCA, PC-AiR accounts for sample relatedness (known or cryptic) to provide accurate ancestry inference that is not confounded by family structure.

Usage

Arguments

genoData

An object of class GenotypeData from the package GWASTools containing the genotype data for SNPs and samples to be used for the analysis. This object can easily be created from a matrix of SNP genotype data, PLINK files, or GDS files. Alternatively, this could be an object of class SeqVarData from the package SeqVarTools containing the genotype data for the sequencing variants and samples to be used for the analysis.

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The number of principal components to be returned; the default is 20. If v = NULL, then all the principal components are returned.

kinMat

An optional symmetric matrix of pairwise kinship coefficients for every pair of individuals in the sample (the values on the diagonal do not matter, but the upper and lower triangles must both be filled) used for partitioning the sample into the 'unrelated' and 'related' subsets. See 'Details' for how this interacts with kin.thresh and unrel.set. IDs for each individual must be set as the row and column names of the matrix.

kin.thresh

Threshold value on kinMat used for declaring each pair of individuals as related or unrelated. The default value is $2^{-11/2} \sim 0.022$. See 'Details' for how this interacts with kinMat.

divMat

An optional symmetric matrix of pairwise divergence measures for every pair of individuals in the sample (the values on the diagonal do not matter, but the upper and lower triangles must both be filled) used for partitioning the sample into the 'unrelated' and 'related' subsets. See 'Details' for how this interacts with div.thresh. IDs for each individual must be set as the row and column names of the matrix.

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Threshold value on divMat used for deciding if each pair of individuals is ancestrally divergent. The default value is $-2^{-11/2} \sim -0.022$. See 'Details' for how this interacts with divMat.
An optional vector of IDs for identifying individuals that are forced into the unrelated subset. See 'Details' for how this interacts with kinMat.
A vector of IDs for samples to include in the analysis. If NULL, all samples are included.
A vector of SNP IDs to include in the analysis. If NULL, see chromosome for further details.
A vector of integers specifying which chromosomes to analyze. This parameter is only considred when snp.include is NULL; if chromosome is also NULL, then all SNPs are included.
The number of SNPs to read-in/analyze at once. The default value is 10000.
Minor allele frequency filter; any SNPs with MAF less than this value will be excluded from the analysis; the default value is 0.01.
Logical indicator of whether updates from the function should be printed to the console; the default is TRUE.
An object of class 'pcair', i.e. output from the pcair function.
An object of class 'pcair', i.e. output from the pcair function.
Further arguments passed to or from other methods.

Details

The basic premise of PC-AiR is to partition the entire sample of individuals into an ancestry representative 'unrelated subset' and a 'related set', perform standard PCA on the 'unrelated subset', and predict PC values for the 'related subset'.

We recommend using software that accounts for population structure to estimate pairwise kinship coefficients to be used in kinMat. Any pair of individuals with a pairwise kinship greater than kin.thresh will be declared 'related.' Kinship coefficient estimates from the KING-robust software are used as measures of ancestry divergence in divMat. Any pair of individuals with a pairwise divergence measure less than div.thresh will be declared ancestrally 'divergent'. Typically, kin.thresh and div.thresh are set to be the amount of error around 0 expected in the estimate for a pair of truly unrelated individuals.

If divMat = NULL and kinMat is specified, the kinship coefficient estimates in kinMat will also be used as divergence measures in place of divMat.

It is important that the order of individuals in the matrices kinMat and divMat match the order of individuals in the genoData.

There are multiple ways to partition the sample into an ancestry representative 'unrelated subset' and a 'related subset'. If kinMat is specified and unrel.set = NULL, then the PC-AiR algorithm is used to find an 'optimal' partition (see 'References' for a paper describing the algorithm). If kinMat = NULL and unrel.set is specified, then the individuals with IDs in unrel.set are used as the 'unrelated subset'. If both kinMat and unrel.set are specified, then all individuals with IDs in unrel.set are forced in the 'unrelated subset' and the PC-AiR algorithm is used to partition the rest of the sample; this is especially useful for including reference samples of known ancestry in the 'unrelated subset'. If kinMat = NULL and unrel.set = NULL, then a standard principal components analysis that does not account for relatedness is performed.

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Value

An object of class 'pcair'. A list including:

vectors A matrix of the top v principal components; each column is a principal compo-

nent. Sample IDs are provided as rownames.

values A vector of eigenvalues matching the top v principal components. These values

are determined from the standard PCA run on the 'unrelated subset'.

sum.values The sum of all the eigenvalues from the standard PCA run on the 'unrelated

subset' (regardless of how many were returned).

rels A vector of IDs for individuals in the 'related subset'.

unrels A vector of IDs for individuals in the 'unrelated subset'.

kin.thresh The threshold value used for declaring each pair of individuals as related or

unrelated.

div. thresh The threshold value used for determining if each pair of individuals is ancestrally

divergent.

nsamp The total number of samples in the analysis.

nsnps The total number of SNPs used in the analysis, after filtering on MAF.

MAF The minor allele frequency (MAF) filter used on SNPs.

call The function call passed to pcair.

method A character string. Either "PC-AiR" or "Standard PCA" identifying which method

was used for computing principal components.

Note

The GenotypeData function in the GWASTools package should be used to create the input genoData. Input to the GenotypeData function can easily be created from an R matrix or GDS file. PLINK .bed, .bim, and .fam files can easily be converted to a GDS file with the function snpgdsBED2GDS in the SNPRelate package. Alternatively, the SeqVarData function in the SeqVarTools package can be used to create the input genodata when working with sequencing data.

Author(s)

Matthew P. Conomos

References

Conomos M.P., Miller M., & Thornton T. (2015). Robust Inference of Population Structure for Ancestry Prediction and Correction of Stratification in the Presence of Relatedness. Genetic Epidemiology, 39(4), 276-293.

Manichaikul, A., Mychaleckyj, J.C., Rich, S.S., Daly, K., Sale, M., & Chen, W.M. (2010). Robust relationship inference in genome-wide association studies. Bioinformatics, 26(22), 2867-2873.

See Also

pcairPartition for a description of the function used by pcair that can be used to partition the sample into 'unrelated' and 'related' subsets without performing PCA. plot.pcair for plotting. king2mat for creating a matrix of pairwise kinship coefficient estimates from KING output text files that can be used for kinMat or divMat. GWASTools for a description of the package containing the following functions: GenotypeData for a description of creating a GenotypeData class object

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for storing sample and SNP genotype data, MatrixGenotypeReader for a description of reading in genotype data stored as a matrix, and GdsGenotypeReader for a description of reading in genotype data stored as a GDS file. Also see snpgdsBED2GDS in the SNPRelate package for a description of converting binary PLINK files to GDS. The generic functions summary and print.

Examples

pcairPartition

Partition a sample into an ancestry representative 'unrelated subset' and a 'related subset'

Description

pcairPartition is used to partition a sample from a genetic study into an ancestry representative 'unrelated subset' and a 'related subset'. The 'unrelated subset' contains individuals who are all mutually unrelated to each other and representative of the ancestries of all individuals in the sample, and the 'related subset' contains individuals who are related to someone in the 'unrealted subset'.

Usage

```
pcairPartition(kinMat, kin.thresh = 2^{-11/2}), divMat = NULL,
div.thresh = -2^{-11/2}), unrel.set = NULL)
```

Arguments

kinMat

A symmetric matrix of pairwise kinship coefficients for every pair of individuals in the sample (the values on the diagonal do not matter, but the upper and lower triangles must both be filled) used for partitioning the sample into the 'unrelated' and 'related' subsets. See 'Details' for how this interacts with kin.thresh and unrel.set. IDs for each individual must be set as the row and column names of the matrix.

kin.thresh

Threshold value on kinMat used for declaring each pair of individuals as related or unrelated. The default value is 0.025. See 'Details' for how this interacts with kinMat.

divMat

A symmetric matrix of pairwise ancestry divergence measures for every pair of individuals in the sample (the values on the diagonal do not matter, but the upper and lower triangles must both be filled) used for partitioning the sample pcairPartition 39

into the 'unrelated' and 'related' subsets. See 'Details' for how this interacts with div.thresh. IDs for each individual must be set as the row and column

names of the matrix.

div.thresh Threshold value on divMat used for deciding if each pair of individuals is ances-

trally divergent. The default value is -0.025. See 'Details' for how this interacts

with divMat.

unrel.set An optional vector of IDs for identifying individuals that are forced into the

unrelated subset. See 'Details' for how this interacts with kinMat.

Details

We recommend using software that accounts for population structure to estimate pairwise kinship coefficients to be used in kinMat. Any pair of individuals with a pairwise kinship greater than kin.thresh will be declared 'related.' Kinship coefficient estimates from the KING-robust software are typically used as measures of ancestry divergence in divMat. Any pair of individuals with a pairwise divergence measure less than div.thresh will be declared ancestrally 'divergent'. Typically, kin.thresh and div.thresh are set to be the amount of error around 0 expected in the estimate for a pair of truly unrelated individuals. If unrel.set = NULL, the PC-AiR algorithm is used to find an 'optimal' partition (see 'References' for a paper describing the algorithm). If unrel.set and kinMat are both specified, then all individuals with IDs in unrel.set are forced in the 'unrelated subset' and the PC-AiR algorithm is used to partition the rest of the sample; this is especially useful for including reference samples of known ancestry in the 'unrelated subset'.

Value

A list including:

rels A vector of IDs for individuals in the 'related subset'.

unrels A vector of IDs for individuals in the 'unrelated subset'.

Note

pcairPartition is called internally in the function pcair but may also be used on its own to partition the sample into an ancestry representative 'unrelated' subset and a 'related' subset without performing PCA.

Author(s)

Matthew P. Conomos

References

Conomos M.P., Miller M., & Thornton T. (2015). Robust Inference of Population Structure for Ancestry Prediction and Correction of Stratification in the Presence of Relatedness. Genetic Epidemiology, 39(4), 276-293.

Manichaikul, A., Mychaleckyj, J.C., Rich, S.S., Daly, K., Sale, M., & Chen, W.M. (2010). Robust relationship inference in genome-wide association studies. Bioinformatics, 26(22), 2867-2873.

See Also

pcair which uses this function for finding principal components in the presence of related individuals. king2mat for creating a matrix of kinship coefficent estimates or pairwise ancestry divergence measures from KING output text files that can be used as kinMat or divMat.

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Examples

```
# load saved matrix of KING-robust estimates
data("HapMap_ASW_MXL_KINGmat")
# partition the sample
part <- pcairPartition(kinMat = HapMap_ASW_MXL_KINGmat,
divMat = HapMap_ASW_MXL_KINGmat)</pre>
```

pcrelate

PC-Relate: Model-Free Estimation of Recent Genetic Relatedness

Description

pcrelate is used to estimate kinship coefficients, IBD sharing probabilities, and inbreeding coefficients using genome-wide SNP data. PC-Relate accounts for population structure (ancestry) among sample individuals through the use of ancestry representative principal components (PCs) to provide accurate relatedness estimates due only to recent family (pedigree) structure.

Usage

Arguments

genoData	An object of class GenotypeData from the package GWASTools containing the genotype data for SNPs and samples to be used for the analysis. This object can easily be created from a matrix of SNP genotype data, PLINK files, or GDS files. Alternatively, this could be an object of class SeqVarData from the package SeqVarTools containing the genotype data for the sequencing variants and samples to be used for the analysis.
pcMat	An optional matrix of principal components (PCs) to be used for ancestry adjustment. Each column represents a PC, and each row represents an individual. IDs for each individual must be set as the row names of the matrix.
freq.type	A character string taking the values 'individual' or 'population' indicating whether genotype values should be adjusted by individual-specific allele frequencies or population average allele frequencies. This should be set to 'individual' (the default) in order to do a PC-Relate analysis; see 'Details' for more information.
scale	A character string taking the values 'overall', 'variant', or 'none' indicating how genotype values should be standardized. This should be set to 'overall' (the default) in order to do a PC-Relate analysis; see 'Details' for more information.
ibd.probs	Logical indicator of whether pairwise IBD sharing probabilities (k0, k1, k2) should be estimated; the default is TRUE.
scan.include	A vector of IDs for samples to include in the analysis. If NULL, all samples in genoData are included.
training.set	An optional vector of IDs identifying which samples to use for estimation of the ancestry effect when estimating individual-specific allele frequencies. If NULL, all samples in scan.include are used. See 'Details' for more information.

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scan.block.size

The number of individuals to read-in/analyze at once; the default value is 5000.

See 'Details' for more information.

snp.include A vector of SNP IDs to include in the analysis. If NULL, see chromosome for

further details.

chromosome A vector of integers specifying which chromosomes to analyze. This parameter

is only considred when snp.include is NULL; if chromosome is also NULL,

then all SNPs are included.

snp.block.size The number of SNPs to read-in/analyze at once. The default value is 10000.

MAF Minor allele frequency filter. When freq.type is 'individual', if an individual's

estimated individual-specific minor allele frequency at a SNP is less than this value, that SNP will be excluded from the analysis for that individual. When freq.type is 'population', any SNP with a population minor allele frequency less than this value will be excluded from the analysis. The default value is 0.01.

write.to.gds Logical indicator of whether the output should be written to GDS files. If

FALSE (the default), then the output is returned to the R console as expected.

See 'Details' for more information.

gds.prefix File path specifying where to save the output when write.to.gds = TRUE. If

NULL, the prefix 'tmp' is used. See 'Details' for more information.

correct Logical indicator of whether to implement a small sample correction.

verbose Logical indicator of whether updates from the function should be printed to the

console; the default is TRUE.

Details

The basic premise of PC-Relate is to estimate kinship coefficients, IBD sharing probabilities, and inbreeding coefficients that reflect recent family (pedigree) relatedness by conditioning out genetic similarity due to distant population structure (ancestry) with ancestry representative principal components (PCs).

It is important that the PCs used in pcMat to adjust for ancestry are representative of ancestry and NOT family structure, so we recommend using PCs calculated with PC-AiR.

It is important that the order of individuals in the matrix pcMat matches the order of individuals in genoData.

In order to perform relatedness estimation, allele frequency estimates are required for centering and scaling genotype values. When freq.type is 'individual', individual-specific allele frequencies calculated for each individual at each SNP using the PCs specified in pcMat are used. When freq. type is 'population', population average allele frequencies calculated at each SNP are used for all individuals. (Note that when freq. type is set to 'population' there is no ancestry adjustment and the relatedness estimates will be confounded with population structure (ancestry)). There are muliple choices for how genotype values are scaled. When scale is 'variant', centered genotype values at each SNP are divided by their expected variance under Hardy-Weinberg equilibrium. When scale is 'overall', centered genotype values at all SNPs are divided by the average across all SNPs of their expected variances under Hardy-Weinberg equilibrium; this scaling leads to more stable behavior when using low frequency variants. When scale is 'none', genotype values are only centered and not scaled; this won't provide accurate kinship coefficient estimates but may be useful for other purposes. At a particular SNP, the variance used for scaling is either calculated separately for each individual using their individual-specific allele frequncies (when freq. type is 'individual') or once for all individuals using the population average allele frequency (when freq. type is 'population'). Set freq. type to 'individual' and scale to 'overall' to perform a standard PC-Relate analysis; these are the defaults. If freq. type is set to 'individual' and scale is set to 'variant', the 42 pcrelate

estimators are very similar to REAP. If freq. type is set to 'population' and scale is set to 'variant', the estimators are very similar to EIGENSOFT.

The optional input training.set allows the user to specify which samples are used to estimate the ancestry effect when estimating individual-specific allele frequencies (if freq.type is 'individual') or to estimate the population allele frequency (if freq.type is 'population'. Ideally, training.set is a set of mutually unrelated individuals. If prior information regarding pedigree structure is available, this can be used to select training.set, or if pcair was used to obtain the PCs, then the individuals in the PC-AiR 'unrelated subset' can be used. If no prior information is available, all individuals should be used.

The scan.block.size can be specified to alleviate memory issues when working with very large data sets. If scan.block.size is smaller than the number of individuals included in the analysis, then individuals will be analyzed in separate blocks. This reduces the memory required for the analysis, but genotype data must be read in multiple times for each block (to analyze all pairs), which increases the number of computations required. NOTE: if individuals are broken up into more than 1 block, write.to.gds must be TRUE (see below).

If write.to.gds = TRUE, then the output is written to two GDS files rather than returned to the R console. Use of this option requires the <code>gdsfmt</code> package. The first GDS file, named "<gds.prefix>_freq.gds", contains the individual-specific allele frequency estimates for each individual at each SNP (when freq.type is 'individual') or the population allele frequency estimates at each SNP (when freq.type is 'population'. The second GDS file, named "<gds.prefix>_pcrelate.gds", contains the PC-Relate output as described in Value below.

Value

An object of class 'pcrelate'. A list including:

sample.id A vector of IDs for samples included in the analysis.

kinship A matrix of estimated pairwise kinship coefficients. The order of samples matches

sample.id.

ibd.probs A matrix of estimated pairwise IBD sharing probabilities; the lower triangle

gives k0 (the probability of sharing 0 alleles IBD), the upper triangle gives k2 (the probability of sharing 2 alleles IBD), and the diagonal is missing. The order of samples matches sample.id. This matrix is returned only if ibd.probs = TRUE

in the input.

nsnp A matrix specifying the the number of SNPs used to estimate the relatedness

measures for each pair of individuals. The order of samples matches sample.id.

kincorrect A vector specifying the correction factors used for the small sample correction,

or NULL.

k2correct A vector specifying the correction factors used for the small sample correction,

or NULL.

call The function call passed to pcrelate.

method A character string. Either 'PC-Relate' or 'Unadjusted' identifying which method

was used for computing relatedness estimates. 'Unadjusted' is used when pcMat = NULL

and corresponds to an assumption of population homogeneity.

Note

The GenotypeData function in the GWASTools package should be used to create the input genoData. Input to the GenotypeData function can easily be created from an R matrix or GDS file. PLINK .bed, .bim, and .fam files can easily be converted to a GDS file with the function snpgdsBED2GDS in the SNPRelate package. Alternatively, the SeqVarData function in the SeqVarTools package can be used to create the input genodata when working with sequencing data.

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Author(s)

Matthew P. Conomos

References

Conomos M.P., Reiner A.P., Weir B.S., & Thornton T.A. (2016). Model-free Estimation of Recent Genetic Relatedness. American Journal of Human Genetics, 98(1), 127-148.

See Also

pcrelateReadKinship, pcrelateReadInbreed, and pcrelateMakeGRM for functions that can be used to read in the results output by pcrelate. GWASTools for a description of the package containing the following functions: GenotypeData for a description of creating a GenotypeData class object for storing sample and SNP genotype data, MatrixGenotypeReader for a description of reading in genotype data stored as a matrix, and GdsGenotypeReader for a description of reading in genotype data stored as a GDS file. Also see snpgdsBED2GDS in the SNPRelate package for a description of converting binary PLINK files to GDS.

Examples

```
library(GWASTools)
# file path to GDS file
gdsfile <- system.file("extdata", "HapMap_ASW_MXL_geno.gds", package="GENESIS")</pre>
# read in GDS data
HapMap_geno <- GdsGenotypeReader(filename = gdsfile)</pre>
# create a GenotypeData class object
HapMap_genoData <- GenotypeData(HapMap_geno)</pre>
# load saved matrix of KING-robust estimates
data("HapMap_ASW_MXL_KINGmat")
# run PC-AiR
mypcair <- pcair(genoData = HapMap_genoData, kinMat = HapMap_ASW_MXL_KINGmat,</pre>
                 divMat = HapMap_ASW_MXL_KINGmat)
# run PC-Relate
mypcrel <- pcrelate(genoData = HapMap_genoData, pcMat = mypcair$vectors[,1],</pre>
training.set = mypcair$unrels)
close(HapMap_genoData)
```

pcrelateMakeGRM

Creates a Genetic Relationship Matrix (GRM) of Pairwise Kinship Coefficient Estimates from PC-Relate Output

Description

pcrelateMakeGRM is used to create a genetic relationship matrix (GRM) of pairwise kinship coefficient estimates from the output of pcrelate.

Usage

```
pcrelateMakeGRM(pcrelObj, scan.include = NULL, scaleKin = 2)
```

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Arguments

pcrel0bj The object containing the output from pcrelate. This could be a list of class

pcrelate or an object of class gds. class read into R using the function openfn. gds

from the gdsfmt package.

scan.include A vector of IDs for samples to be included in the GRM. The default is NULL,

which includes all samples in pcrel0bj.

scaleKin Specifies a numeric constant to scale each estimated kinship coefficient by in

the GRM. The default value is 2.

Details

This function provides a quick and easy way to construct a genetic relationship matrix (GRM) from the output of pcrelate.

Author(s)

Matthew P. Conomos

References

Conomos M.P., Reiner A.P., Weir B.S., & Thornton T.A. (2016). Model-free Estimation of Recent Genetic Relatedness. American Journal of Human Genetics, 98(1), 127-148.

See Also

pcrelate for the function that performs PC-Relate. pcrelateReadKinship for the function that creates a table of pairwise kinship coefficient and IBD sharing probabilities from the same PC-Relate output file. pcrelateReadInbreed for the function that creates a table of inbreeding coefficient estimates from the same PC-Relate output file.

pcrelateReadInbreed	Create a Table of Inbreeding Coefficient Estimates from PC-Relate
	Output

Description

pcrelateReadInbreed is used to create a table of inbreeding coefficient estimates from the output of pcrelate.

Usage

```
pcrelateReadInbreed(pcrelObj, scan.include = NULL, f.thresh = NULL)
```

Arguments

pcrel0bj The object containing the output from pcrelate. This could be a list of class

pcrelate or an object of class gds. class read into R using the function openfn. gds

from the gdsfmt package.

scan.include A vector of IDs for samples to be included in the table. The default is NULL,

which includes all samples in pcrel0bj.

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f.thresh

Specifies a minimum value of the estimated inbreeding coefficient to include in the table; i.e. only individuals with an estimated inbreeding coefficient greater than f.thresh will be included in the table. The default is NULL, which includes all individuals.

Details

This function provides an easy way to make a table of estimated inbreeding coefficients.

Author(s)

Matthew P. Conomos

References

Conomos M.P., Reiner A.P., Weir B.S., & Thornton T.A. (2016). Model-free Estimation of Recent Genetic Relatedness. American Journal of Human Genetics, 98(1), 127-148.

See Also

pcrelate for the function that performs PC-Relate. pcrelateReadKinship for the function that creates a table of pairwise kinship coefficient and IBD sharing probabilities from the same PC-Relate output file. pcrelateMakeGRM for the function that creates a genetic relationship matrix (GRM) of pairwise kinship coefficient estimates from the same PC-Relate output file.

pcrelateReadKinship

Create a Table of Pairwise Kinship Coefficient and IBD Sharing Probability Estimates from PC-Relate Output

Description

pcrelateReadKinship is used to create a table of pairwise kinship coefficient and IBD sharing probability (k0, k1, k2) estimates from the output of pcrelate.

Usage

Arguments

pcrelObj	The object containing the output from pcrelate. This could be a list of class pcrelate or an object of class gds.class read into R using the function openfn.gds from the gdsfmt package.
scan.include	A vector of IDs for samples to be included in the table. The default is NULL, which includes all samples in pcrel0bj.
ibd.probs	Logical indicator of whether or not the output in pcrel0bj has estimates of IBD sharing probabilities.
kin.thresh	Specifies a minimum value of the estimated kinship coefficient to include in the table; i.e. only pairs with an estimated kinship coefficient greater than kin.thresh will be included in the table. The default is NULL, which includes all pairs.

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Details

This function provides an easy way to make a table of pairwise relatedness estimates.

Author(s)

Matthew P. Conomos

References

Conomos M.P., Reiner A.P., Weir B.S., & Thornton T.A. (2016). Model-free Estimation of Recent Genetic Relatedness. American Journal of Human Genetics, 98(1), 127-148.

See Also

pcrelate for the function that performs PC-Relate. pcrelateReadInbreed for the function that creates a table of inbreeding coefficient estimates from the same PC-Relate output file. pcrelateMakeGRM for the function that creates a genetic relationship matrix (GRM) of pairwise kinship coefficient estimates from the same PC-Relate output file.

plot.pcair	PC-AiR: Plotting PCs

Description

plot.pcair is used to plot pairs of principal components contained in a class 'pcair' object obtained as output from the pcair function.

Usage

Arguments

x	An object of class 'pcair' obtained as output from the pcair function.
vx	An integer indicating which principal component to plot on the x-axis; the default is 1.
vy	An integer indicating which principal component to plot on the y-axis; the default is 2.
pch	Either an integer specifying a symbol or a single character to be used in plotting points. If NULL, the default is dots for the 'unrelated subset' and + for the 'related subset'.
col	A specification for the plotting color for points. If NULL, the default is black for the 'unrelated subset' and blue for the 'related subset'.
xlim	The range of values shown on the x-axis. If NULL, the default shows all points.
ylim	The range of values shown on the y-axis. If NULL, the default shows all points.

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main	An overall title for the plot. If NULL, the default specifies which PC-AiR PCs are plotted.
sub	A sub title for the plot. If NULL, the default is none.
xlab	A title for the x-axis. If NULL, the default specifies which PC-AiR PC is plotted.
ylab	A title for the y-axis. If NULL, the default specifies which PC-AiR PC is plotted.
	Other parameters to be passsed through to plotting functions, (see par).

Details

This function provides a quick and easy way to plot principal components obtained with the function pcair to visualize the population structure captured by PC-AiR.

Value

A figure showing the selected principal components plotted against each other.

Author(s)

Matthew P. Conomos

See Also

pcair for obtaining principal components that capture population structure in the presence of relatedness. par for more in depth descriptions of plotting parameters. The generic function plot.

Examples

```
library(GWASTools)
# file path to GDS file
gdsfile <- system.file("extdata", "HapMap_ASW_MXL_geno.gds", package="GENESIS")</pre>
# read in GDS data
HapMap_geno <- GdsGenotypeReader(filename = gdsfile)</pre>
# create a GenotypeData class object
HapMap_genoData <- GenotypeData(HapMap_geno)</pre>
# load saved matrix of KING-robust estimates
data("HapMap_ASW_MXL_KINGmat")
# run PC-AiR
mypcair <- pcair(genoData = HapMap_genoData, kinMat = HapMap_ASW_MXL_KINGmat,</pre>
                divMat = HapMap_ASW_MXL_KINGmat)
# plot top 2 PCs
plot(mypcair)
# plot PCs 3 and 4
plot(mypcair, vx = 3, vy = 4)
close(HapMap_genoData)
```

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varCompCI

Variance Component Confidence Intervals

Description

varCompCI provides confidence intervals for the variance component estimates found using fitNullMM. The confidence intervals can be found on either the original scale or for the proportion of total variability explained.

Usage

```
varCompCI(nullMMobj, prop = TRUE)
```

Arguments

nullMMobj A null model object returned by fitNullMM.

prop A logical indicator of whether the point estimates and confidence intervals should

be returned as the proportion of total variability explained (TRUE) or on the

orginal scale (FALSE).

Details

varCompCI takes the object returned by fitNullMM as its input and returns point estimates and confidence intervals for each of the random effects variance component estimates. If a kinship matrix or genetic relationship matrix (GRM) was included as a random effect in the model fit using fitNullMM, then this function can be used to provide a heritability estimate when prop is TRUE.

Value

varCompCI prints a table of point estimates and 95% confidence interval limits for each estimated variance component.

Author(s)

Matthew P. Conomos

See Also

fitNullMM for fitting the mixed model and performing the variance component estimation.

Examples

```
library(GWASTools)

# file path to GDS file
gdsfile <- system.file("extdata", "HapMap_ASW_MXL_geno.gds", package="GENESIS")
# read in GDS data
HapMap_geno <- GdsGenotypeReader(filename = gdsfile)
# create a GenotypeData class object
HapMap_genoData <- GenotypeData(HapMap_geno)
# load saved matrix of KING-robust estimates
data("HapMap_ASW_MXL_KINGmat")</pre>
```

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```
# run PC-AiR
mypcair <- pcair(genoData = HapMap_genoData, kinMat = HapMap_ASW_MXL_KINGmat,</pre>
                divMat = HapMap_ASW_MXL_KINGmat)
# run PC-Relate
mypcrel <- pcrelate(genoData = HapMap_genoData, pcMat = mypcair$vectors[,1],</pre>
         training.set = mypcair$unrels)
close(HapMap_genoData)
# generate a phenotype
set.seed(4)
pheno <- 0.2*mypcair$vectors[,1] + rnorm(mypcair$nsamp, mean = 0, sd = 1)</pre>
# make ScanAnnotationDataFrame
scanAnnot <- ScanAnnotationDataFrame(data.frame(scanID = mypcrel$sample.id,</pre>
              pc1 = mypcair$vectors[,1], pheno = pheno))
# make covMatList
covMatList <- list("Kin" = pcrelateMakeGRM(mypcrel))</pre>
# fit the null mixed model
nullmod <- fitNullMM(scanData = scanAnnot, outcome = "pheno", covars = "pc1", covMatList = covMatList)</pre>
# find the variance component CIs
varCompCI(nullmod, prop = TRUE)
varCompCI(nullmod, prop = FALSE)
```

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